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COMMISSION IMPLEMENTING REGULATION (EU) 2025/2303

of 14 November 2025

laying down implementing technical standards with regard to procedures, standard forms and templates for the provision of information for the purposes of resolution plans for credit institutions and investment firms pursuant to Directive 2014/59/EU of the European Parliament and of the Council, and repealing Commission Implementing Regulation (EU) 2018/1624

(Text with EEA relevance)

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Directive 2014/59/EU of the European Parliament and of the Council of 15 May 2014 establishing a framework for the recovery and resolution of credit institutions and investment firms and amending Council Directive 82/891/EEC, and Directives 2001/24/EC, 2002/47/EC, 2004/25/EC, 2005/56/EC, 2007/36/EC, 2011/35/EU, 2012/30/EU and 2013/36/EU, and Regulations (EU) No 1093/2010 and (EU) No 648/2012, of the European Parliament and of the Council ([1]), and in particular Article 11(3) thereof,

Whereas:

(1) Commission Implementing Regulation (EU) 2018/1624 ([2]) specifies the procedure, and introduces a minimum set of templates, for the provision of information to resolution authorities by credit institutions or investment firms for the purpose of drawing up and implementing resolution plans for institutions. Since the adoption of that Regulation, resolution authorities have gained experience in the area of resolution planning and Directive 2014/59/EU has been amended. In light of that experience and to take into account the new provisions of that Directive, it is necessary to update the minimum set of templates for the collection of information for resolution planning purposes.

(2) Implementing Regulation (EU) 2018/1624 sets out a procedure and a minimum set of templates for the provision of information by institutions to resolution authorities in a way that enables resolution authorities to collect that information in a consistent manner across the Union and that facilitates the exchange of information among the relevant authorities. However, experience has shown that a harmonised approach to the collection of that information has only partially been achieved. It is therefore necessary to review that Implementing Regulation to foster the harmonisation of reporting obligations across the Union on the basis of a revised set of templates that better capture the needs of resolution authorities in a consistent manner. That should not prevent resolution authorities from collecting any additional information they deem necessary to draw up and implement resolution plans or to lay down simplified information obligations in accordance with Article 4 of Directive 2014/59/EU.

(3) To ensure that group resolution plans effectively cover the group concerned, the reporting obligations imposed on Union parent undertakings should not be limited to resolution entities only, but should also concern other relevant legal entities. Such relevance should, however, be properly delineated to exclude reporting for entities that are not relevant for the group or that are not systemically important. To that end, thresholds should be set to identify those legal entities of the group on which resolution reporting requirements should be imposed. Furthermore, Directive (EU) 2024/1174 of the European Parliament and of the Council ([3]) amended Directive 2014/59/EU by introducing,

([1]) OJ L 173, 12.6.2014, p. 190, ELI: http://data.europa.eu/eli/dir/2014/59/oj.

([2]) Commission Implementing Regulation (EU) 2018/1624 of 23 October 2018 laying down implementing technical standards with regard to procedures and standard forms and templates for the provision of information for the purposes of resolution plans for credit institutions and investment firms pursuant to Directive 2014/59/EU of the European Parliament and of the Council, and repealing Commission Implementing Regulation (EU) 2016/1066 (OJ L 277 7.11.2018, p. 1, ELI: http://data.europa.eu/eli/reg_impl/2018/1624/oj).

([3]) Directive (EU) 2024/1174 of the European Parliament and of the Council of 11 April 2024 amending Directive 2014/59/EU and Regulation (EU) No 806/2014 as regards certain aspects of the minimum requirement for own funds and eligible liabilities (OJ L, 2024/1174, 22.4.2024, ELI: http://data.europa.eu/eli/dir/2024/1174/oj).

inter alia, a definition of 'liquidation entity'. To take into account that new definition, it is necessary to differentiate between resolution reporting requirements for liquidation entities, for resolution entities, and for entities belonging to resolution groups. In particular, it is necessary to specify reporting obligations taking into account whether the entities concerned are stand-alone entities, or belong to groups, and whether such entities or groups have been identified, or include entities which have been identified, as liquidation entities. Those reporting obligations should be set out on an individual, sub-consolidated or consolidated level in a way that ensures proportionality, does not compromise effective resolution planning, relieves entities from parallel data collections coming from different authorities, and removes overlapping data points with supervisory reporting frameworks. That should be achieved through the implementation of an approach that modulates the number of templates depending on the type of reporting entity concerned. Particular attention should also be given to resolution groups consisting of credit institutions permanently affiliated to a central body and the central body itself to ensure that resolution reporting effectively covers all the credit institutions permanently affiliated to the central body of that resolution group, the central body itself, and their respective subsidiaries, on an individual, sub-consolidated and consolidated level.

(4) To ensure efficient resolution planning while preserving proportionality, the scope of resolution reporting requirements may have to differ from the scope of prudential reporting requirements, when necessary to ensure that resolution authorities have adequate and credible data to perform their tasks. In that context, it is necessary to ensure that resolution reporting is not impeded by prudential waivers or by resolution groups not subject to prudential consolidation requirements.

(5) To ensure that resolution plans are based on a minimum set of data of consistently high quality and precision, a single data point model should be adopted, as is the practice in supervisory reporting. The single data point model should consist of a structural representation of the data items, and identify all relevant business concepts for the purpose of uniform reporting for resolution planning, and should contain all the relevant specifications necessary for further developing uniform IT reporting solutions.

(6) To safeguard the quality, consistency and accuracy of data items reported by institutions, those data items should be subject to common validation rules.

(7) Due to their very nature, validation rules and data point definitions are updated regularly in order to ensure that they comply, at all times, with applicable regulatory, analytical and information technology requirements. However, the time currently required to adopt and publish the detailed single data point model and validation rules means that it is not possible to carry out modifications in a sufficiently rapid and timely manner that would ensure the permanent provision of uniform information regarding resolution plans in the Union. Therefore, stringent qualitative criteria should be established for the detailed single data point model and the detailed common validation rules which will be published electronically by the European Banking Authority (EBA) on its website. The above does not exclude that EBA may also publish on its website technical instructions to fill in the forms and templates specified in this Regulation.

(8) Article 11(2) of Directive 2014/59/EU obliges competent and resolution authorities to cooperate to minimise the duplication of information requirements. For that purpose, Implementing Regulation (EU) 2018/1624 introduced a cooperation procedure between competent and resolution authorities, which should be maintained so that competent and resolution authorities jointly verify whether some or all of the requested information is already available to the competent authority. Where the information is available to the competent authority, it is appropriate that it transmits it to the resolution authority directly.

(9) Given the extent of the amendments to Implementing Regulation (EU) 2018/1624, it is appropriate, for reasons of legal certainty and clarity, to adopt a new Implementing Regulation and, therefore, to repeal and replace Implementing Regulation (EU) 2018/1624.

(10) This Regulation is based on the implementing technical standards submitted to the Commission by the EBA.

(11) The EBA has conducted open public consultations on the implementing technical standards on which this Regulation is based, analysed the potential related costs and benefits and requested the advice of the Banking Stakeholder Group established in accordance with Article 37 of Regulation (EU) No 1093/2010 of the European Parliament and of the Council [4],

HAS ADOPTED THIS REGULATION:

Article 1

Definition

For the purposes of this Regulation, 'relevant legal entity' means a group entity as defined in Article 2(1), point (31), of Directive 2014/59/EU, other than a resolution entity, which is established in the Union and meets any of the following conditions:

(a) it provides critical functions;

(b) its individual total risk exposure amount calculated in accordance with Article 92(3) of Regulation (EU) No 575/2013 of the European Parliament and of the Council [5] equals or exceeds 2 % of the consolidated total risk exposure amount of the Union parent undertaking;

(c) its individual total exposure measure referred to in Article 429(4) of Regulation (EU) No 575/2013 equals or exceeds 2 % of the consolidated total exposure measure of the Union parent undertaking;

(d) its individual operating income equals or exceeds 2 % of the group's consolidated total operating income calculated at the level of the Union parent undertaking;

(e) its individual total assets exceed EUR 5 billion;

(f) it is important for the financial stability in at least one Member State.

For the purposes of point (b) of the first paragraph, for a group comprising more than one resolution entity, an entity shall be regarded as a relevant legal entity where its individual total risk exposure amount equals or exceeds 2 % of the total risk exposure amount of the resolution entity at the resolution group consolidated level.

For the purposes of point (c) of the first paragraph, for a group comprising more than one resolution entity, an entity shall be regarded as relevant legal entity where its individual total exposure measure equals or exceeds 2 % of the total exposure measure of the resolution entity at the resolution group consolidated level.

Article 2

Resolution reporting by institutions that are not part of a group subject to consolidated supervision pursuant to Articles 111 and 112 of Directive 2013/36/EU

1. Resolution entities that are not part of a group subject to consolidated supervision pursuant to Articles 111 and 112 of Directive 2013/36/EU of the European Parliament and of the Council [6] shall submit to the resolution authority, on an individual basis, the information specified in all the templates set out in Annex I to this Regulation, except the information referred to in templates Z 01.01, Z 04.00, Z 07.02, Z 07.03 and Z 11.00.

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[4] Regulation (EU) No 1093/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Banking Authority), amending Decision No 716/2009/EC and repealing Commission Decision 2009/78/EC (OJ L 331, 15.12.2010, p. 12, ELI: http://data.europa.eu/eli/reg/2010/1093/oj).

[5] Regulation (EU) No 575/2013 of the European Parliament and of the Council of 26 June 2013 on prudential requirements for credit institutions and amending Regulation (EU) No 648/2012 (OJ L 176 27.6.2013, p. 1, ELI: http://data.europa.eu/eli/reg/2013/575/oj).

[6] Directive 2013/36/EU of the European Parliament and of the Council of 26 June 2013 on access to the activity of credit institutions and the prudential supervision of credit institutions, amending Directive 2002/87/EC and repealing Directives 2006/48/EC and 2006/49/EC (OJ L 176, 27.6.2013, p. 338, ELI: http://data.europa.eu/eli/dir/2013/36/oj).

2. Liquidation entities that are not subject to simplified obligations, that are not part of a group subject to consolidated supervision pursuant to Articles 111 and 112 of Directive 2013/36/EU, and for which the resolution authority has not determined the requirement referred to in Article 45(1) of Directive 2014/59/EU in accordance with Article 45c(2a), second subparagraph, of that Directive, shall submit to the resolution authority, on an individual basis, the information specified in templates Z 01.02, Z 02.00, Z 05.01, Z 05.02, Z 06.00, Z 07.01.1 to Z 07.01.5, Z 07.04 and Z 09.01 set out in Annex I to this Regulation.

3. Liquidation entities that are not subject to simplified obligations, that are not part of a group subject to consolidated supervision pursuant to Articles 111 and 112 of Directive 2013/36/EU, and for which the resolution authority has determined the requirement referred to in Article 45(1) of Directive 2014/59/EU in accordance with Article 45c(2a), second subparagraph, of that Directive shall submit to the resolution authority, on an individual basis, the information specified in templates Z 01.02, Z 02.00, Z 03.01, Z 03.02, Z 05.01, Z 05.02, Z 06.00, Z 07.01.1 to Z 07.01.5, Z 07.04 and Z 09.01 set out in Annex I to this Regulation, .

Article 3

Group resolution reporting – resolution groups

1. A Union parent undertaking shall submit to the group-level resolution authority the information specified in templates Z 01.01, Z 01.02 and Z 08.01 to Z 09.04 set out in Annex I in relation to all group entities.

2. A Union parent undertaking shall submit to the group-level resolution authority the information on financial interconnections between all group entities, as specified in template Z 04.00 set out in Annex I.

3. A Union parent undertaking shall submit to the group-level resolution authority the information specified in templates Z 02.00 set out in Annex I as follows:

(a) on an individual basis for all the group's resolution entities, including the Union parent undertaking, and for all the relevant legal entities that are institutions;

(b) on a consolidated basis or, where applicable, on sub-consolidated basis, for all the group's resolution entities, including the Union parent undertaking, and for all the relevant legal entities for which the resolution authority has determined a requirement as referred to in Article 45(1) of Directive 2014/59/EU on a consolidated or sub-consolidated basis, regardless of whether those entities are subject to Part One, Title II, Chapter 2, of Regulation (EU) No 575/2013.

4. A Union parent undertaking shall submit to the group-level resolution authority the information specified in templates Z 03.01 or Z 03.02 set out in Annex I as follows:

(a) on an individual basis, for all the group's resolution entities, including the Union parent undertaking, and for all the relevant legal entities that are institutions for which the resolution authority has determined a requirement as referred to in Article 45(1) of Directive 2014/59/EU;

(b) on a consolidated or sub-consolidated basis, for all the group's resolution entities, including the Union parent undertaking, and for all relevant legal entities that are institutions for which the resolution authority has determined a requirement as referred to in Article 45(1) of Directive 2014/59/EU regardless of whether those entities are subject to Part One, Title II, Chapter 2, of Regulation (EU) No 575/2013.

5. A Union parent undertaking shall submit to the group-level resolution authority the information referred to in templates Z 07.01.1, Z 07.01.2, Z 07.01.3, Z 07.01.4 and Z 07.01.5 set out in Annex I at the level of each Member State in which the group operates.

6. A Union parent undertaking shall submit to the group-level resolution authority the information referred to in templates Z 07.02, Z 07.03 and Z 07.04 set out in Annex I in relation to the critical functions and core business lines provided by any group entity.

7. A Union parent undertaking shall submit to the group-level resolution authority, on an individual basis, the information specified in templates Z 05.01, Z 05.02, Z 06.00, Z 07.01.1 to Z 07.01.5, Z 07.04 and Z 11.00 to Z 17.00 set out in Annex I for all the group's resolution entities, including the Union parent undertaking.

8. The Union parent undertaking shall submit to the group-level resolution authority, on an individual basis, the information specified in templates Z 05.01, Z 05.02, Z 06.00, Z 07.01.1 to Z 07.01.5 and Z 07.04 set out in Annex I for all the relevant legal entities that are institutions.

9. Paragraph 2, paragraph 3, point (a), and paragraphs 4, 5 and 6 of this Article shall apply notwithstanding any derogation from the application of prudential requirements granted in accordance with Article 7(1) or (3) of Regulation (EU) No 575/2013 or Article 8 of Regulation (EU) 2019/2033 of the European Parliament and of the Council [7] or any waiver of the application of the minimum requirement for own funds and eligible liabilities granted in accordance with Article 45f of Directive 2014/59/EU.

Article 4

Group resolution reporting – groups comprising only liquidation entities

A Union parent undertaking of a group comprising only liquidation entities that are not subject to simplified obligations shall submit to the group-level resolution authority:

(a) the information specified in templates Z 01.01, Z 01.02, Z 07.01.1 to Z 07.01.5 and Z 09.01 set out in Annex I in relation to all group entities, template Z 02.00 on a consolidated basis, and template Z 04.00 in relation to the financial interconnections between all group entities;

(b) on an individual basis, for itself and for each relevant legal entity for which the resolution authority has not determined the requirement referred to in Article 45(1) of Directive 2014/59/EU, in accordance with Article 45c(2a), second subparagraph, of that Directive, the information specified in templates Z 02.00, Z 05.01, Z 05.02, Z 06.00, Z 07.01.1 to Z 07.01.5, Z 07.04 set out in Annex I to this Regulation ;

(c) on an individual basis, for itself and for each relevant legal entity for which the resolution authority has determined the requirement referred to in Article 45(1) of Directive 2014/59/EU, in accordance with Article 45c(2a), second subparagraph, of that Directive, the information specified in templates Z 02.00, Z 03.01, Z 03.02, Z 05.01, Z 05.02, Z 06.00, Z 07.01.1 to Z 07.01.5, Z 07.04 set out in Annex I to this Regulation.

Article 5

Adjustments to group resolution reporting

1. For a group the Union parent undertaking of which is a liquidation entity and which comprises resolution entities, the Union parent undertaking shall submit the following:

(a) for group entities belonging to resolution groups, the information referred to in Article 3;

(b) for liquidation entities not subject to simplified obligations and that are not part of any resolution group, the information referred to in Article 4.

2. For a resolution group as referred to in Article 2(1), point (83b), (b), of Directive 2014/59/EU, the information referred to in Article 3 of this Regulation shall be submitted by at least one of the group's resolution entities. That information shall effectively cover all the credit institutions permanently affiliated to the central body of that resolution group, the central body itself, and their respective subsidiaries, on an individual, sub-consolidated and consolidated basis, as appropriate.

(7) Regulation (EU) 2019/2033 of the European Parliament and of the Council of 27 November 2019 on the prudential requirements of investment firms and amending Regulations (EU) No 1093/2010, (EU) No 575/2013, (EU) No 600/2014 and (EU) No 806/2014 (OJ L 314, 5.12.2019, p. 1, ELI: http://data.europa.eu/eli/reg/2019/2033/oj).

Article 6

Frequency, reference dates and remittance dates

1. Institutions or, in the case of groups, Union parent undertakings shall submit the information referred to in Articles 2 to 5 as follows:

(a) for the templates Z 01.01, Z 01.02, Z 02.00, Z 03.01, Z 03.02, Z 04.00, Z 05.01, Z 05.02, Z 06.00 and Z 11.00 to Z 17.00, at the latest by 31 March each year in respect of the last day of the previous calendar year;

(b) for the templates Z 07.01.1 to Z 07.04, Z 08.01 to Z 08.05 and Z 09.01 to Z 09.04, at the latest by 30 April each year in respect of the last day of the previous calendar year.

For the purposes of point (a) of the first paragraph, where 31 March is not a business day, the information shall be provided on the following business day.

For the purposes of point (b) of the first paragraph, where 30 April is not a business day, the information shall be provided on the following business day.

2. Resolution authorities shall specify whether the information shall be directly submitted to the resolution authority or whether it shall be submitted to the competent authority instead, where applicable.

3. Institutions or, in the case of groups, Union parent undertakings may submit unaudited figures. Where audited figures deviate from submitted unaudited figures, the revised, audited figures shall be submitted without undue delay.

4. For the purposes of paragraph 3, unaudited figures are figures that have not received an external auditor's opinion and audited figures are figures audited by an external auditor expressing an audit opinion.

5. Corrections to the submitted reports shall be submitted without undue delay.

Article 7

Data exchange formats and information accompanying the submission

1. Institutions or, in the case of groups, Union parent undertakings, shall submit the information referred to in Articles 2 to 5, as specified in the templates set out in Annex I, in the data exchange formats and representations specified by resolution authorities, and shall respect the data point definitions included in the single data point model referred to in Annex II and the validation rules referred to in Annex III.

2. In addition to the obligation referred to in paragraph 1, institutions or, in the case of groups, Union parent undertakings shall ensure the following:

(a) numerical values shall be submitted as follows:

(i) data points with the data type 'Monetary' shall be reported using a minimum precision equivalent to ten thousands of units;

(ii) data points with the data type 'Percentage' shall be expressed as per unit with a minimum precision equivalent to four decimals;

(iii) data points with the data type 'Integer' shall be reported using no decimals and a precision equivalent to units.

(b) institutions and insurance undertakings shall be identified solely by their Legal Entity Identifier;

(c) legal entities and counterparties other than institutions and insurance undertakings shall be identified by their Legal Entity Identifier, where available;

(d) information that is not required or not applicable shall not be included in a data submission.

3. Institutions or, in the case of groups, Union parent undertakings, shall accompany the submitted data by the following information:

(a) reference date;

(b) reporting currency;

(c) accounting standard;

(d) Legal Entity Identifier of the reporting entity;

(e) level of application as set out in Articles 2, 3 and 4.

Article 8

Provision of additional information for individual or group resolution plans

1. The resolution authority or the group-level resolution authority shall request additional information or information in a new format from the relevant institution or the Union parent undertaking where any of the following applies:

(a) the resolution authority or group-level resolution authority considers that such information is not covered by any template set out in Annex I and is necessary to draw up and implement resolution plans;

(b) the resolution authority or group-level resolution authority considers that getting such information from entities subject to simplified obligations is necessary to draw up and implement resolution plans;

(c) the format in which an information is provided by the competent authority pursuant to Article 9(2) is not suitable for drawing up or implementing resolution plans.

2. For the request referred to in paragraph 1, the resolution authority shall:

(a) identify the additional information to be provided;

(b) specify the appropriate timeframe within which the institution or, in the case of groups, the Union parent undertaking shall provide the information to the resolution authority, taking into account the volume and complexity of the information required;

(c) specify the format to be used by institutions or, in the case of groups, by Union parent undertakings, to provide the information to the resolution authority;

(d) specify whether the information has to be provided on an individual, sub-consolidated, or consolidated basis, and whether its scope is local, Union-wide or global;

(e) specify the exact recipient, the data exchange formats, and the information that is to accompany submissions in case additional information is to be provided.

Article 9

Cooperation between competent and resolution authorities

1. Competent and resolution authorities shall jointly verify whether part or all of the information to be provided to the resolution authority pursuant to Articles 2 to 5 and Articles 7 and 8 is already available to the competent authority.

2. Where part or all of the information is already available to the competent authority, that authority shall provide such information to the resolution authority in a timely manner.

3. For the purposes of paragraph 2, resolution authorities shall inform institutions or, in the cases of groups, Union parent undertakings, of the information that is required to be included in the submission of information pursuant to this Regulation. Resolution authorities shall identify that information by reference to the templates set out in Annex I.

Article 10

Repeal

Implementing Regulation (EU) 2018/1624 is repealed.

References to the repealed Implementing Regulation shall be construed as references to this Regulation.

Article 11

Entry into force

This Regulation shall enter into force on the twentieth day following that of its publication in the *Official Journal of the European Union*.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels, 14 November 2025.

For the Commission

The President

Ursula VON DER LEYEN

ANNEX I

Template	Template Description	Short Name
Organisational Structure		
Z 01.01	Legal Entities	ORG 1
Z 01.02	Ownership Structure	ORG 2
Aggregate Liability Data		
Z 02.00	Liability Structure	LIAB 1
Z 03.01	Own Funds Requirement - Credit Institutions	LIAB 2
Z 03.02	Own Funds Requirement - Investment Firms	LIAB 3
Z 04.00	Intragroup Financial Interconnections	LIAB 4
Z 05.01	Major Liability Counterparties	LIAB 5
Z 05.02	Major Off Balance Sheet Counterparties	LIAB 6
Z 06.00	Deposit Insurance	LIAB 7
Critical Functions		
Z 07.01	Criticality assessment of economic functions	FUNC 1
Z 07.02	Mapping of economic functions to legal entities	FUNC 2
Z 07.03	Mapping of core business lines to legal entities	FUNC 3
Z 07.04	Mapping of economic functions to core business lines	FUNC 4
Relevant Services		
Z 08.01	Relevant Services	SERV 1
Z 08.02	Relevant Services – mapping to operational assets	SERV 2
Z 08.03	Relevant Services – mapping to roles	SERV 3
Z 08.04	Critical Services – mapping to critical functions	SERV 4
Z 08.05	Essential Services – mapping to core business lines	SERV 5
Financial Market Infrastructures		
Z 09.01	FMI Services – Providers and Users	FMI 1
Z 09.02	FMI Services – Mapping to Critical and Essential FMIs	FMI 2
Z 09.03	FMI Services – Key Metrics	FMI 3
Z 09.04	FMI Services – CCPs – Alternative provider	FMI 4
Granular Liability Data		
Z 11.00	Intragroup Liabilities, excluding Derivatives	LIAB G 1
Z 12.00	Securities (Including CET1, AT1 & Tier 2 Instruments; Excluding intragroup)	LIAB G 2
Z 13.00	All Deposits (excluding intragroup)	LIAB G 3
Z 14.00	Other financial Liabilities (not included in other tabs, excluding intragroup)	LIAB G 4
Z 15.00	Derivatives	LIAB G 5
Z 16.00	Secured Finance transactions, excluding intragroup	LIAB G 6
Z 17.00	Other Non-Financial Liabilities (not included in other tabs, excluding intragroup)	LIAB G 7

Z 01.01 - Legal entities (ORG 1)

Entity																		
Entity name	**Code**	**Type of code**	**Entity type**	**Country**	**LEI of POE of Resolution Group**	**Article 7 CRR Waiver**	**Article 8 CRR Waiver**	**Subject to Article 9 CRR**	**Article 10 CRR waiver**	**Total assets**	**Total Risk Exposure Amount**	**Total Exposure Measure**	**Total operating income**	**Accounting standard**	**Contribution to consolidated total risk exposure amount**	**Contribution to consolidated total exposure measure**	**Contribution to consolidated operating income**	**Relevant legal entity**
0010	0020	0025	0040	0050	0055	0070	0080	0090	0100	0110	0150	0160	0170	0210	0260	0270	0280	0320

Z 01.02 - Ownership Structure (ORG 2)

Investor			Investee				Ownership	
Name	**Code**	**Type of code**	**Name**	**Code**	**Type of code**	**International Branch**	**Share Capital**	**Voting rights in the entity**
0010	0020	0030	0040	0050	0060	0070	0080	0090

Z 02.00 - Liability Structure (LIAB 1)

		Counterparty											
		Households		Non-financial corporations (SMEs)		Non-financial corporations (non-SMEs)		Credit institutions		Other financial corporations			
												Of which Insurance firms & pension funds	
		Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount
Row	Item	0010	0011	0020	0021	0030	0031	0040	0041	0050	0051	0055	0056
0100	**LIABILITIES EXCLUDED FROM BAIL-IN**												

		Counterparty											
		Households		Non-financial corporations (SMEs)		Non-financial corporations (non-SMEs)		Credit institutions		Other financial corporations			
		Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Of which Insurance firms & pension funds	
												Outstanding Amount	Carrying Amount
Row	Item	0010	0011	0020	0021	0030	0031	0040	0041	0050	0051	0055	0056
0110	Covered deposits												
0120	Secured liabilities - collateralized part												
0130	Client liabilities, if protected in insolvency												
0140	Fiduciary liabilities, if protected in insolvency												
0150	Institution liabilities < 7 days												
0161	System (operator) and CCP liabilities < 7 days												
0170	Employee liabilities												
0180	Liabilities critical to operational daily functioning												
0190	Tax and social security authorities liabilities, if preferred												
0200	DGS liabilities												

		Counterparty											
		Households		Non-financial corporations (SMEs)		Non-financial corporations (non-SMEs)		Credit institutions		Other financial corporations			
												Of which Insurance firms & pension funds	
		Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount
Row	Item	0010	0011	0020	0021	0030	0031	0040	0041	0050	0051	0055	0056
0210	Liabilities towards other entities of the resolution group												
0300	**LIABILITIES NOT EXCLUDED FROM BAIL-IN**												
0310	**Deposits, not covered but preferential**												
0311	*of which: residual maturity <= 1 month*												
0312	*of which: residual maturity > 1 month < 1 year*												
0313	*of which: residual maturity >= 1 year and < 2 years*												
0314	*of which: residual maturity >= 2 years*												
0320	**Deposits, not covered and not preferential**												
0321	*of which: residual maturity <= 1 month*												
0322	*of which: residual maturity > 1 month < 1 year*												

		Counterparty											
		Households		Non-financial corporations (SMEs)		Non-financial corporations (non-SMEs)		Credit institutions		Other financial corporations			
		Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Of which Insurance firms & pension funds	
												Outstanding Amount	Carrying Amount
Row	Item	0010	0011	0020	0021	0030	0031	0040	0041	0050	0051	0055	0056
0323	*of which: residual maturity >= 1 year and < 2 years*												
0324	*of which: residual maturity >= 2 years*												
0330	**Balance sheet liabilities arising from derivatives**												
0331	*Sum of net liability positions taking into account contractual netting sets, after mark-to-market adjustments, prior to collateral offset*												
0332	*Sum of net liability positions taking into account contractual netting sets, after mark-to-market adjustments, post collateral offset*												
0333	*Sum of net liability positions taking into account contractual netting sets, after mark-to-market adjustments, post collateral offset, incorporating estimated close-out amounts*												

		Counterparty											
		Households		Non-financial corporations (SMEs)		Non-financial corporations (non-SMEs)		Credit institutions		Other financial corporations			
												Of which Insurance firms & pension funds	
		Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount
Row	Item	0010	0011	0020	0021	0030	0031	0040	0041	0050	0051	0055	0056
0334	*Sum of net liability positions taking into account prudential netting rules*												
0340	**Uncollateralized secured liabilities**												
0341	*of which: residual maturity <= 1 month*												
0342	*of which: residual maturity > 1 month < 1 year*												
0343	*of which: residual maturity >= 1 year and < 2 years*												
0344	*of which: residual maturity >= 2 years*												
0350	**Structured notes**												
0351	*of which: residual maturity <= 1 month*												
0352	*of which: residual maturity > 1 month < 1 year*												
0353	*of which: residual maturity >= 1 year and < 2 years*												
0354	*of which: residual maturity >= 2 years*												

		Counterparty											
		Households		Non-financial corporations (SMEs)		Non-financial corporations (non-SMEs)		Credit institutions		Other financial corporations			
		Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Of which Insurance firms & pension funds	
												Outstanding Amount	Carrying Amount
Row	Item	0010	0011	0020	0021	0030	0031	0040	0041	0050	0051	0055	0056
0360	**Senior unsecured liabilities**												
0361	*of which: residual maturity <= 1 month*												
0362	*of which: residual maturity > 1 month < 1 year*												
0363	*of which: residual maturity >= 1 year and < 2 years*												
0364	*of which: residual maturity >= 2 years*												
0365	**Senior non-preferred liabilities**												
0366	*of which: residual maturity <= 1 month*												
0367	*of which: residual maturity > 1 month < 1 year*												
0368	*of which: residual maturity >= 1 year and < 2 years*												
0369	*of which: residual maturity >= 2 years*												

		Counterparty											
		Households		Non-financial corporations (SMEs)		Non-financial corporations (non-SMEs)		Credit institutions		Other financial corporations			
												Of which Insurance firms & pension funds	
		Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount
Row	Item	0010	0011	0020	0021	0030	0031	0040	0041	0050	0051	0055	0056
0370	**Subordinated liabilities (not recognised as own funds)**												
0371	*of which: residual maturity <= 1 month*												
0372	*of which: residual maturity > 1 month < 1 year*												
0373	*of which: residual maturity >= 1 year and < 2 years*												
0374	*of which: residual maturity >= 2 years*												
0380	**Other MREL eligible liabilities**												
0381	*of which: residual maturity >= 1 year and < 2 years*												
0382	*of which: residual maturity >= 2 years*												
0390	**Non-financial liabilities**												
0400	**Residual liabilities**												
0500	**OWN FUNDS**												

		Counterparty											
		Households		Non-financial corporations (SMEs)		Non-financial corporations (non-SMEs)		Credit institutions		Other financial corporations			
		Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Of which Insurance firms & pension funds	
												Outstanding Amount	Carrying Amount
Row	Item	0010	0011	0020	0021	0030	0031	0040	0041	0050	0051	0055	0056
0510	**Common Equity Tier 1 Capital**												
0511	*of which: capital instruments/share capital*												
0512	*of which: instruments ranking pari passu with ordinary shares*												
0520	**Additional Tier 1 capital**												
0521	*of which: (part of) subordinated liabilities recognised as own funds*												
0530	**Tier 2 Capital**												
0531	*of which: (part of) subordinated liabilities recognised as own funds*												
0600	**TOTAL LIABILITIES & OWN FUNDS INCLUDING DERIVATIVE LIABILITIES**												
0800	**TOTAL EQUITY**												

		Counterparty											
		General governments & Central banks		Non identified, listed on a trading venue		Non identified, not listed on a trading venue		**TOTAL**		of which: intragroup		of which: liabilities governed by the law of a third-country, excluding intragroup	
		Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount
Row	Item	0060	0061	0070	0071	0080	0081	0090	0091	0100	0101	0110	0111
0100	**LIABILITIES EXCLUDED FROM BAIL-IN**												
0110	Covered deposits												
0120	Secured liabilities - collateralized part												
0130	Client liabilities, if protected in insolvency												
0140	Fiduciary liabilities, if protected in insolvency												
0150	Institution liabilities < 7 days												
0161	System (operator) and CCP liabilities < 7 days												
0170	Employee liabilities												
0180	Liabilities critical to operational daily functioning												
0190	Tax and social security authorities liabilities, if preferred												
0200	DGS liabilities												
0210	Liabilities towards other entities of the resolution group												

		Counterparty											
		General governments & Central banks		Non identified, listed on a trading venue		Non identified, not listed on a trading venue		**TOTAL**		of which: intragroup		of which: liabilities governed by the law of a third-country, excluding intragroup	
		Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount
Row	Item	0060	0061	0070	0071	0080	0081	0090	0091	0100	0101	0110	0111
0300	**LIABILITIES NOT EXCLUDED FROM BAIL-IN**												
0310	**Deposits, not covered but preferential**												
0311	*of which: residual maturity <= 1 month*												
0312	*of which: residual maturity > 1 month < 1 year*												
0313	*of which: residual maturity >= 1 year and < 2 years*												
0314	*of which: residual maturity >= 2 years*												
0320	**Deposits, not covered and not preferential**												
0321	*of which: residual maturity <= 1 month*												
0322	*of which: residual maturity > 1 month < 1 year*												
0323	*of which: residual maturity >= 1 year and < 2 years*												
0324	*of which: residual maturity >= 2 years*												

		Counterparty											
		General governments & Central banks		Non identified, listed on a trading venue		Non identified, not listed on a trading venue		**TOTAL**		of which: intragroup		of which: liabilities governed by the law of a third-country, excluding intragroup	
		Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount
Row	Item	0060	0061	0070	0071	0080	0081	0090	0091	0100	0101	0110	0111
0330	**Balance sheet liabilities arising from derivatives**												
0331	*Sum of net liability positions taking into account contractual netting sets, after mark-to-market adjustments, prior to collateral offset*												
0332	*Sum of net liability positions taking into account contractual netting sets, after mark-to-market adjustments, post collateral offset*												
0333	*Sum of net liability positions taking into account contractual netting sets, after mark-to-market adjustments, post collateral offset, incorporating estimated close-out amounts*												
0334	*Sum of net liability positions taking into account prudential netting rules*												

		Counterparty											
		General governments & Central banks		Non identified, listed on a trading venue		Non identified, not listed on a trading venue		**TOTAL**		of which: intragroup		of which: liabilities governed by the law of a third-country, excluding intragroup	
		Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount
Row	Item	0060	0061	0070	0071	0080	0081	0090	0091	0100	0101	0110	0111
0340	**Uncollateralized secured liabilities**												
0341	*of which: residual maturity <= 1 month*												
0342	*of which: residual maturity > 1 month < 1 year*												
0343	*of which: residual maturity >= 1 year and < 2 years*												
0344	*of which: residual maturity >= 2 years*												
0350	**Structured notes**												
0351	*of which: residual maturity <= 1 month*												
0352	*of which: residual maturity > 1 month < 1 year*												
0353	*of which: residual maturity >= 1 year and < 2 years*												
0354	*of which: residual maturity >= 2 years*												

		Counterparty											
		General governments & Central banks		Non identified, listed on a trading venue		Non identified, not listed on a trading venue		**TOTAL**		of which: intragroup		of which: liabilities governed by the law of a third-country, excluding intragroup	
		Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount
Row	Item	0060	0061	0070	0071	0080	0081	0090	0091	0100	0101	0110	0111
0360	**Senior unsecured liabilities**												
0361	*of which: residual maturity <= 1 month*												
0362	*of which: residual maturity > 1 month < 1 year*												
0363	*of which: residual maturity >= 1 year and < 2 years*												
0364	*of which: residual maturity >= 2 years*												
0365	**Senior non-preferred liabilities**												
0366	*of which: residual maturity <= 1 month*												
0367	*of which: residual maturity > 1 month < 1 year*												
0368	*of which: residual maturity >= 1 year and < 2 years*												
0369	*of which: residual maturity >= 2 years*												

		Counterparty						TOTAL		of which: intragroup		of which: liabilities governed by the law of a third-country, excluding intragroup	
		General governments & Central banks		Non identified, listed on a trading venue		Non identified, not listed on a trading venue							
		Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount
Row	Item	0060	0061	0070	0071	0080	0081	0090	0091	0100	0101	0110	0111
0370	**Subordinated liabilities (not recognised as own funds)**												
0371	*of which: residual maturity <= 1 month*												
0372	*of which: residual maturity > 1 month < 1 year*												
0373	*of which: residual maturity >= 1 year and < 2 years*												
0374	*of which: residual maturity >= 2 years*												
0380	**Other MREL eligible liabilities**												
0381	*of which: residual maturity >= 1 year and < 2 years*												
0382	*of which: residual maturity >= 2 years*												
0390	**Non-financial liabilities**												
0400	**Residual liabilities**												

		Counterparty											
		General governments & Central banks		Non identified, listed on a trading venue		Non identified, not listed on a trading venue		**TOTAL**		of which: intragroup		of which: liabilities governed by the law of a third-country, excluding intragroup	
		Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount	Outstanding Amount	Carrying Amount
Row	Item	0060	0061	0070	0071	0080	0081	0090	0091	0100	0101	0110	0111
0500	**OWN FUNDS**												
0510	**Common Equity Tier 1 Capital**												
0511	*of which: capital instruments/share capital*												
0512	*of which: instruments ranking pari passu with ordinary shares*												
0520	**Additional Tier 1 capital**												
0521	*of which: (part of) subordinated liabilities recognised as own funds*												
0530	**Tier 2 Capital**												
0531	*of which: (part of) subordinated liabilities recognised as own funds*												
0600	**TOTAL LIABILITIES & OWN FUNDS INCLUDING DERIVATIVE LIABILITIES**												
0800	**TOTAL EQUITY**												

Z 03.01 - Own funds requirements - Credit Institutions (LIAB 2)

		Amount or Percentage
		0010
0100	**Total Risk Exposure Amount**	
0120	**Total Exposure Measure**	
0210	**Initial capital**	
0220	**Leverage Ratio Requirement**	
0300	**Total SREP Capital Requirement (TSCR) ratio**	
0400	**Combined Buffer Requirement**	
0410	Capital Conservation Buffer	
0420	Conservation buffer due to macro-prudential or systemic risk identified at the level of a Member State	
0430	Institution-Specific Countercyclical Capital Buffer	
0440	Systemic Risk Buffer	
0450	Global Systemically Important Institution Buffer	
0460	Other Systemically Important Institution Buffer	
0500	**Overall capital requirement (OCR) ratio**	

Z 03.02 Own funds requirements - Investment Firms (LIAB 3)

		Amount
		0010
0100	Total own funds requirement	
0110	Own funds requirement	
0120	Additional own funds requirement	
0130	Additional own funds guidance	

Z 04.00 - Intragroup financial interconnections (LIAB 4)

Issuer or guaranteed entity			Creditor, holder or guarantee provider			Financial interconnection			
Entity name	Code	Type of code	Entity name	Code	Type of code	Type	Outstanding amount		
								of which issued under 3rd country law	of which MREL eligible
0010	0020	0025	0030	0040	0045	0050	0060	0070	0080

Z 05.01 - Major Liability Counterparties LIAB 5)

Counterparty						Type	Amount
Entity name	Code	Type of code	Group or individual	Country	Sector		
0010	0020	0025	0030	0040	0050	0060	0070

Z 05.02 - Major off-balance sheet counterparties (LIAB 6)

Counterparty						Type	Amount
Entity name	Code	Type of Code	Group or individual	Country	Sector		
0010	0020	0025	0030	0040	0050	0060	0070

Z 06.00 - Deposit insurance (LIAB 7)

Legal Entity	DGS Membership			Institutional Protection Scheme	Additional protection under contractual scheme
Entity name	Code	DGS	Amount of covered deposits		
0010	0020	0030	0040	0050	0060

Z 07.01 - Criticality assessment of economic functions (FUNC 1)

Country: | **Sheet per Country plus (sub)region**

	Economic functions			Quantitative Data							
	ID	Economic function	Description of economic function	Market share	Value on Accounts	Total		Number of Clients	Number of Accounts	Total	Cross border value
						Of which uninsured	Of which recurrent			Of which recurrent	
Row			0010	0020	0030	0035	0036	0040	0050	0055	0060
	1	**Deposits**									
0010	1,1	Households									
0020	1,2	Non-financial corporations - SMEs									
0030	1,3	Non-financial corporations - non-SMEs									
0040	1,4	General Governments									
0050	1,5	Other sectors / counterparties (1)									
0060	1,6	Other sectors / counterparties (2)									
0070	1,7	Other sectors / counterparties (2)									

	Economic functions		Impact and substitutability analyses								Onboarding Capacity	Criticality assessment			Comments from the group
			Nature and reach			Relevance	Market Structure	Timing	Ability for substitution		Number of accounts				
	ID	**Economic function**	**Size indicator 1** (based on values)	**Size indicator 2** (based on numbers)	**Cross border indicator**	**Market share**	**Market concentra-tion**	**Expected time for substitution**	**Legal barriers to market entry or expansion**	**Operational require-ments**	**Number of applications from new customersover 1 working day (Number of accounts)**	**Impact on market**	**Substitut-ability**	**Critical Function**	
Row			0070	0080	0090	0100	0110	0120	0130	0140	0145	150	0160	0170	0180
	1	**Deposits**													
0010	1,1	Households													
0020	1,2	Non-financial corporations - SMEs													
0030	1,3	Non-financial corporations - non-SMEs													
0040	1,4	General Governments													
0050	1,5	Other sectors / counterparties (1)													
0060	1,6	Other sectors / counterparties (2)													
0070	1,7	Other sectors / counterparties (2)													

Z 07.01 - Criticality assessment of economic functions (FUNC 1)

Country:		**Sheet per Country plus (sub)region**

	Economic functions			Quantitative Data			
	ID	Economic function	Description of economic function	Market share	Value outstanding	Number of Clients	Value outstanding – cross-border value
Row			0010	0020	0030	0040	0060
	2	**Lending**					
0080	2,1	Households - lending for house purchase					
0090	2,2	Households - other lending					
0100	2,3	Non-financial corporations - SMEs					
0110	2,4	Non-financial corporations - non-SMEs					
0120	2,5	General Governments					
0130	2,6	Other sectors / counterparties (1)					
0140	2,7	Other sectors / counterparties (2)					
0150	2,8	Other sectors / counterparties (3)					

	Economic functions		Impact and substitutability analyses								Criticality assessment			
			Nature and reach			Relevance	Market Structure	Timing	Ability for substitution					
	ID	Economic function	Size indicator 1 (based on values)	Size indicator 2 (based on numbers)	Cross border indicator	Market share	Market concentration	Expected time for substitution	Legal barriers to market entry or expansion	Operational requirements	Impact on market	Substitutability	Critical Function	Comments from the group
Row			0080	0090	0100	0110	0120	0130	0140	0150	0160	0170	0180	0190
	2	**Lending**												
0080	2,1	Households - lending for house purchase												
0090	2,2	Households - other lending												
0100	2,3	Non-financial corporations - SMEs												

	Economic functions		Impact and substitutability analyses								Criticality assessment			
	ID	Economic function	Nature and reach			Relevance	Market Structure	Timing	Ability for substitution					Comments from the group
			Size indicator 1 (based on values)	Size indicator 2 (based on numbers)	Cross border indicator	Market share	Market concentration	Expected time for substitution	Legal barriers to market entry or expansion	Operational requirements	Impact on market	Substitutability	Critical Function	
Row			0080	0090	0100	0110	0120	0130	0140	0150	0160	0170	0180	0190
0110	2,4	Non-financial corporations - non-SMEs												
0120	2,5	General Governments												
0130	2,6	Other sectors / counterparties (1)												
0140	2,7	Other sectors / counterparties (2)												
0150	2,8	Other sectors / counterparties (3)												

Z 07.01 - Criticality assessment of economic functions (FUNC 1)

Country:		Sheet per Country plus (sub)region

	Economic functions			Quantitative Data									
	ID	Economic function	Description of economic function	Market share	Value of transactions	Value of transaction of which recurrent	Value of open positions	Value of assets under custody	Cross border activities			Number of transactions	Number of Clients
									Value of transactions	Value of open positions	Value of assets under custody		
Row			0010	0020	0030	0040	0050	0060	0070	0080	0090	0100	0110
	3	**Payments, Cash, Settlement, Clearing, Custody services**											

	Economic functions			Quantitative Data									
									Cross border activities				
	ID	Economic function	Description of economic function	Market share	Value of transactions	Value of transaction of which recurrent	Value of open positions	Value of assets under custody	Value of transactions	Value of open positions	Value of assets under custody	Number of transactions	Number of Clients
Row			0010	0020	0030	0040	0050	0060	0070	0080	0090	0100	0110
0160	3,1	Payment services to MFIs											
0170	3,2	Payment services to non-MFIs											
0172	3.2.1	(1) Households											
0174	3.2.2	(2) Non-financial corporations - SMEs											
0176	3.2.3	(3) Non-financial corporations – non-SMEs											
0180	3,3	Cash services											
0190	3,4	Securities settlement services											
0200	3,5	CCP clearing services											
0210	3,6	Custody services											
0220	3,7	Other services / activities / functions (1)											
0230	3,8	Other services / activities / functions (2)											
0240	3,9	Other services / activities / functions (3)											

	Economic functions		Impact and substitutability analyses								Onboarding capacity		Criticality assessment			
			Nature and reach			Relevance	Market Structure	Timing	Ability for substitution		Number of accounts					Comments from the group
	ID	Economic function	**Size indicator 1** (based on values)	**Size indicator 2** (based on numbers)	Cross border indicator	Market share	Market concentration	Expected time for substitu-tion	Legal barriers to market entry or expansion	Operational requirements	Number of applications from new customersover 1 working day (Number)	Number of applications from new customersover 7 working days (Number)	Impact on market	Substitutability	Critical Function	
Row			0120	0130	0140	0150	0160	0170	0180	0190	0200	0210	0230	0240	0250	0260
	3	**Payments, Cash, Settlement, Clearing, Custody services**														
0160	3,1	Payment services to MFIs														
0170	3,2	Payment services to non-MFIs														
0172	3.2.1	(1) Households														
0174	3.2.2	(2) Non-financial corporations - SMEs														
0176	3.2.3	(3) Non-financial corporations – non-SMEs														
0180	3,3	Cash services														
0190	3,4	Securities settlement services														
0200	3,5	CCP clearing services														
0210	3,6	Custody services														
0220	3,7	Other services / activities / functions (1)														

	Economic functions		Impact and substitutability analyses								Onboarding capacity		Criticality assessment			
			Nature and reach			Relevance	Market Structure	Timing	Ability for substitution		Number of accounts					Comments from the group
	ID	Economic function	Size indicator 1 (based on values)	Size indicator 2 (based on numbers)	Cross border indicator	Market share	Market concentration	Expected time for substitu-tion	Legal barriers to market entry or expansion	Operational requirements	Number of applications from new customersover 1 working day (Number)	Number of applications from new customersover 7 working days (Number)	Impact on market	Substitutability	Critical Function	
Row			0120	0130	0140	0150	0160	0170	0180	0190	0200	0210	0230	0240	0250	0260
0230	3,8	Other services / activities / functions (2)														
0240	3,9	Other services / activities / functions (3)														

Z 07.01 - Criticality assessment of economic functions (FUNC 1)

Country:		Sheet per Country plus (sub)region

	Economic functions			Quantitative Data								
								Cross border value				
	ID	Economic function	Description of economic function	Market share	Notional amount	Carrying amount	Fee income	Notional amount	Carrying amount	Fee income	Number of Counterparties	Number of transactions
Row			0010	0020	0030	0040	0050	0060	0070	0080	0090	0100
	4	**Capital Markets**										
0250	4,1	Derivatives held for trading - OTC										
0260	4,2	Derivatives held for trading - non-OTC										

	Economic functions			Quantitative Data									
	ID	Economic function	Description of economic function	Market share	Notional amount	Carrying amount	Fee income	Cross border value			Number of Counterparties	Number of transactions
								Notional amount	Carrying amount	Fee income		
Row			0010	0020	0030	0040	0050	0060	0070	0080	0090	0100
0270	4,3	Secondary markets / trading (held-for-trading only)										
0280	4,4	Primary Markets / underwriting										
0290	4,5	Other services / activities / functions (1)										
0300	4,6	Other services / activities / functions (2)										
0310	4,7	Other services / activities / functions (3)										

	Economic functions		Impact and substitutability analyses								Criticality assessment			
	ID	Economic function	Nature and reach			Relevance	Market Structure	Timing	Ability for substitution					Comments from the group
			Size indicator 1 (based on values)	Size indicator 2 (based on numbers)	Cross border indicator	Market share	Market concentration	Expected time for substitution	Legal barriers to market entry or expansion	Operational requirements	Impact on market	Substitutability	Critical Function	
Row			0110	0120	0130	0140	0150	0160	0170	0180	0190	0200	0210	0220
	4	**Capital Markets**												
0250	4,1	Derivatives held for trading - OTC												
0260	4,2	Derivatives held for trading - non-OTC												
0270	4,3	Secondary markets / trading (held-for-trading only)												
0280	4,4	Primary Markets / underwriting												

	Economic functions		Impact and substitutability analyses								Criticality assessment			
			Nature and reach			Relevance	Market Structure	Timing	Ability for substitution					Comments from the group
	ID	Economic function	**Size indicator 1** (based on values)	**Size indicator 2** (based on numbers)	Cross border indicator	Market share	Market concentration	Expected time for substitution	Legal barriers to market entry or expansion	Operational requirements	Impact on market	Substitutability	Critical Function	
Row			0110	0120	0130	0140	0150	0160	0170	0180	0190	0200	0210	0220
0290	4,5	Other services / activities / functions (1)												
0300	4,6	Other services / activities / functions (2)												
0310	4,7	Other services / activities / functions (3)												

Z 07.01 - Criticality assessment of economic functions (FUNC 1)

Country:		Sheet per Country plus (sub)region

	Economic functions			Quantitative Data					
	ID	Economic function	Description of economic function	Market share	Gross carrying amount	Number of Counterparties	(Reverse) repurchase agreements	Cross border value	Value at Credit Institutions
Row			0010	0020	0030	0040	0050	0060	0070
	5	**Wholesale Funding**							
0320	5,1	Borrowing							
0330	5,2	Derivatives (assets)							
0340	5,3	Lending							
0350	5,4	Derivatives (liabilities)							

	Economic functions			Quantitative Data					
	ID	Economic function	Description of economic function	Market share	Gross carrying amount	Number of Counterparties	(Reverse) repurchase agreements	Cross border value	Value at Credit Institutions
Row			0010	0020	0030	0040	0050	0060	0070
0360	5,5	Other product types (1)							
0370	5,6	Other product types (2)							
0380	5.7	Other product types (3)							

	Economic functions		Impact and substitutability analyses								Criticality assessment			
			Nature and reach			Relevance	Market Structure	Timing	Ability for substitution					
	ID	Economic function	**Size indicator 1** (based on values)	**Size indicator 2** (based on numbers)	Cross border indicator	Market share	Market concentration	Expected time for substitution	Legal barriers to market entry or expansion	Operational requirements	Impact on market	Substitutability	Critical Function	Comments from the group
Row			0080	0090	0100	0110	0120	0130	0140	0150	0160	0170	0180	0190
	5	**Wholesale Funding**												
0320	5,1	Borrowing												
0330	5,2	Derivatives (assets)												
0340	5,3	Lending												
0350	5,4	Derivatives (liabilities)												
0360	5,5	Other product types (1)												
0370	5,6	Other product types (2)												
0380	5.7	Other product types (3)												

Z 07.02 - Mapping of economic functions to legal entities (FUNC 2)

Economic function		Legal entity			Monetary importance
Country	**ID**	**Entity name**	**Code**	**Type of code**	**Monetary amount**
0010	0020	0030	0040	0045	0050

Z 07.03 - Mapping of Core Business Lines to legal entities (FUNC 3)

Core Business line			Legal entity		
Core business line	**Business Line ID**	**Description**	**Entity name**	**Code**	**Type of code**
0010	0020	0030	0040	0050	0060

Z 07.04 - Mapping of economic functions to core business lines (FUNC 4)

Economic functions		Core Business line	
Country	**ID**	**Core Business line**	**Business Line ID**
0010	0020	0030	0040

Z 08.01 - Relevant services (SERV 1)

Service Identifier	Service type	Unique service title as per bank taxonomy	Service recipient		Service provider							Criticality	Contract ID	Governing law	Resolution-resilience			Critical ICT third party service provider under DORA	ICT service under DORA
					Entity			Parent			Service delivery								
			Name	Code	Name	Code	Type of code	Name	Code	Type of code					Resolution-resilience features	Business Reorganiza-tion Plan (BRP)	Alternative mitigating actions		
0005	0010	0020	0030	0040	0050	0060	0070	0080	0090	0100	0110	0120	0130	0140	0150	0160	0170	0180	0190

Z 08.02 - Relevant services - mapping to operational assets (SERV 2)

Service Identifier	Service type	Unique service title as per bank taxonomy	Asset identifier	Type of asset	Name of asset	Criticality	Legal/contract type	Contract ID	Governing law	Resolution-resilient features		
										Resolution-resilience features	Business Reorganization Plan (BRP)	Alternative mitigating actions
0005	0010	0020	0030	0040	0050	0060	0070	0080	0090	0100	0110	0120

Z 08.03 - Relevant services - mapping to roles (SERV 3)

Service Identifier	Service type	Unique service title as per bank taxonomy	Role ID	Role Name	Department	Criticality
0005	0010	0020	0030	0040	0050	0060

Z 08.04 - Critical services - mapping to critical functions (SERV 4)

Service Identifier	Service type	Unique service title as per bank taxonomy	Critical function	
			Country	ID
0005	0010	0020	0030	0040

Z 08.05 - Essential services - mapping to core business lines (SERV 5)

Service Identifier	Service type	Unique service title as per bank taxonomy	Core business line	
			Name	ID
0005	0010	0020	0030	0040

Z 09.01 - FMI services - Providers and Users (FMI 1)

ID representing combination of user, FMI, system type and intermediary	User		Provider									
			FMI						Intermediary		
	Entity name	Entity code	System type	FMI name (included in predefined list)	FMI name (not included in predefined list)	FMI Code	Operator of the FMI	Participation mode	Intermediary name	Intermediary code	Contract ID
0010	0020	0030	0040	0050	0060	0070	0080	0090	0100	0110	0120

Provider															Communication
Contracts and Services															Point of contact at FMI/ intermediary
Governing law	Resolution-resilient contract	Currencies relevant for reporting entity						Services provided to FMI/ intermediary	Services provided by FMI/ intermediary	Communication service providers			Other service providers enabling access to FMI		
		EUR	GBP	USD	CHF	JPY	Other currencies			FMI proprietary	SWIFT	Other communication service providers - Name	Name of additional service providers	Additional services	
0130	0140	0150	0160	0170	0180	0190	0200	0210	0220	0230	0240	0250	0260	0270	0280

Z 09.02 - FMI services - Mapping to Critical and Essential FMIs (FMI 2)

ID representing combination of user, FMI, system type and intermediary (IDFMI)	Critical FMI			Essential FMI	
		Country	Critical Function ID		Core Business Line ID
0010	0020	0030	0040	0050	0060

Z 09.03 - FMI services - Key metrics (FMI 3)

ID representing combination of user, FMI, system type and intermediary (IDFMI)	Segment (only for CCPs) (SEG)	Key metrics														
		Contribution to default fund	Initial margin on proprietary account	Initial margin on client accounts	Value of positions on proprietary accounts	Value of positions on client accounts	Number of clients covered by omnibus accounts	Number of clients covered by segregated accounts	Number of transactions on proprietary accounts	Number of transactions on client accounts	Value of transactions on proprietary accounts	Value of transactions on client accounts	Cumulated notional amount	Credit line	Peak of liquidity or collateral requirements	Estimated additional liquidity or collateral requirements in a stress situation
0010	0020	0030	0040	0050	0060	0070	0080	0090	0100	0110	0120	0130	0140	0150	0160	0170

Z 09.04 - FMI Services - CCPs- Alternative provider (FMI 4)

ID representing combination of user, FMI, system type and intermediary (CCP only)	Product Type	Substitutability (Y/N)	Alternative provider	ID alternative provider
0010	0020	0030	0040	0050

Z11.00 - Intragroup Liabilities, excluding Derivatives (LIAB G 1)

N°	Reconciliation with aggregated data		Z11.00 - Intragroup Liabilities, excluding Derivatives						
	Row	Column	Insolvency Ranking	Contract Identifier	Name of the counterparty	Identifier of the counterparty	Type of identifier	Relationship with counterparty	Type of Liabillity
0010	0020	0021	0030	0040	0045	0050	0053	0055	0056

Z11.00 - Intragroup Liabilities, excluding Derivatives												
Governing Law	If Third Country, Contractual Recognition	Outstanding Principal Amount	Accrued Interest	Currency	Issuance Date	Earliest Redemption Date	Legal Maturity	Amount of pledge, lien or collateral	Guarantor if applicable	Amount meeting the conditions for MREL eligibility	Qualifying as Own Funds	Amount Qualifying as Owns Funds
0060	0070	0080	0090	0100	0110	0120	0130	0150	0160	0175	0180	0190

Z12.00 - Securities (Including CET1, AT1 & Tier 2 Instruments; Excluding intragroup) (LIAB-G 2)

N°	Reconciliation with aggregated data		Z12.00 - Securities (Including CET1, AT1 & Tier 2 Instruments; Excluding intragroup) (LIAB-G 2)						
	Row	Column	Insolvency Ranking	ISIN	Type of Instrument	Governing Law	If Third Country Law, Contractual Recognition	Currency	Outstanding Principal Amount
0010	0020	0030	0040	0050	0060	0070	0080	0090	0110

Z12.00 - Securities (Including CET1, AT1 & Tier 2 Instruments; Excluding intragroup) (LIAB-G 2)									
Accrued Interest	Coupon Type	Current coupon rate (%)	Issue date	Earliest Redemption Date	Legal Maturity	Public/Private Placement	Paying Agent	Counterparty identifier	Type of identifier
0120	0130	0140	0150	0160	0170	0180	0190	0210	0215

Z12.00 - Securities (Including CET1, AT1 & Tier 2 Instruments; Excluding intragroup) (LIAB-G 2)								
Exchanges Securities are Listed On	Settlement Systems	Registrar	Central Securities Depository	Amount of pledge, lien or collateral	Guarantor	Amount meeting the conditions for MREL eligibility	Qualifying as Own Funds	Amount Qualifying as Owns Funds
0220	0230	0240	0250	0270	0280	0305	0310	0320

Z13.00 - All Deposits (excluding intragroup) (LIAB-G 3)

N°	Reconciliation with aggregated data		Z13.00 - All Deposits (excluding intragroup) (LIAB-G 3)													
	Row	Column	Insol-vency Ranking	Contract Identifier	Counter-party identifier	Type of identifier	Govern-ing Law	Currency	Out-standing Principal Amount	Accrued Interest	Current Interest Rate (%)	Amount of pledge, lien or collateral	Amount meeting the condi-tions for MREL eligibility	Issuance Date for Term Deposits	Earliest Redemp-tion Date
0010	0020	0025	0030	0035	0040	0045	0050	0060	0070	0080	0090	0110	0115	0120	0130

Z14.00 - Other financial Liabilities (not included in other tabs, excluding intragroup) (LIAB-G 4)

N°	Reconciliation with aggregated data		Z14.00 - Other financial Liabilities (not included in other tabs, excluding intragroup) (LIAB-G 4)								
	Row	Column	Insolvency Ranking	Contract Identifier	Name of the counterparty	Counterparty identifier	Type of identifier	Governing Law	Type of financial liabilities	If Third Country, Contractual Recognition
0010	0020	0030	0040	0050	0055	0060	0065	0070	0075	0080

Z14.00 - Other financial Liabilities (not included in other tabs, excluding intragroup) (LIAB-G 4)											
Outstanding Principal Amount	Accrued Interest	Current Interest Rate (%)	Currency	Issuance Date	Earliest Redemption Date	Legal Maturity	Amount of pledge, lien or collateral	Guarantor	Amount meeting the conditions for MREL eligibility	Qualifying as Own Funds	Amount Qualifying as Owns Funds
0090	0100	0110	0120	0130	0140	0150	0170	0180	0205	0210	0220

Z15.00 - Derivatives (LIAB-G 5)

N°	Reconciliation with aggregated data	Z15.00 - Derivatives (LIAB-G 5)						
	Column	Insolvency Ranking	Master Agreement ID	Type of Master Agreement	ISDA Protocol Adherent - Entity	Resolution Stay Recognition	Name of counterparty	Counterparty identifier
0010	0020	0030	0040	0050	0061	0071	0075	0080

Z15.00 - Derivatives (LIAB-G 5)								
Type of identifier	Country of Counterparty	Intragroup Transaction	Governing law of master agreement/ single contract	Number of Transactions Covered	Net Mark-to-Market Value	Value of Net Collateral Posted	Estimated Close-Out Amount	Estimated Early Termination Amount
0085	0090	0095	0100	0110	0120	0130	0140	0150

Z16.00 - Secured Finance transactions, excluding intragroup (LIAB-G 6)

N°	Reconciliation with aggregated data	Z16.00 - Secured Finance, excluding intragroup (LIAB-G 6)										
	Column	Insolvency Ranking	Master Agreement ID	Type of MA	Name of the counterparty	Counter-party identifier	Type of identifier	Country of Counter-party	Governing Law of MA/ single deal	Number of Transactions Covered	Net Amount of Funding Received	Net Amount of Collateral Posted
0010	0020	0030	0040	0050	0055	0060	0065	0070	0080	0090	0100	0110

Z17.00 - Other Non-Financial Liabilities (not included in other tabs, excluding intragroup) (LIAB-G-7)

N°	Reconciliation with aggregated data		**Z17.00 - Other Non-Financial (not included in other tabs, excluding intragroup) (LIAB-G-7)**											
	Row	Column	Insol-vency Ranking	Contract Identifier	Counter-party identifier	Type of identifier	Govern-ing Law	Type of non financial liabilities	Outstand-ing Amount	Currency	Date of Recogni-tion	Date of Maturity	Qualify-ing as Own Funds	Amount Qualifying as Owns Funds
0010	0020	0030	0040	0050	0060	0065	0070	0080	0090	0100	0110	0120	0130	0140

ANNEX II

Single data point model

All data items set out in Annex I shall be transformed into a single data point model which is the basis for uniform IT systems of institutions and resolution authorities.

The single data point model shall meet the following criteria:

(a) it provides a structured representation of all data items set out in Annex I;

(b) it identifies all the business concepts set out in Annex I;

(c) it provides a data dictionary identifying table labels, ordinate labels, axis labels, domain labels, dimension labels and member labels;

(d) it provides metrics which specify the property or amount of data points;

(e) it provides data point definitions that are expressed as a composition of characteristics that univocally identify the financial concept;

(f) it contains all the relevant technical specifications necessary for developing IT reporting solutions producing uniform resolution planning data.

ANNEX III

Validation rules

The data items set out in Annex I shall be subject to validation rules ensuring data quality and consistency. The validation rules shall meet the following criteria:

(a) they establish the logical relationships between relevant data points;

(b) they contain filters and preconditions that specify a set of data to which a validation rule applies;

(c) they check the consistency of the reported data;

(d) they check the accuracy of the reported data;

(e) they set default values which shall be applied where the information concerned has not been reported.