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SEBI and NISM launch NISM XXIV- AML and CFT Provisions in Securities Market Certification Examination

As part of its ongoing efforts to strengthen the capital market, SEBI has been organising outreach programs on Anti-Money Laundering (AML) and Combating the Financing of Terrorism (CFT) Provisions for the benefits of securities market intermediaries. It has also been undertaking various initiatives for creating awareness regarding the said provisions amongst securities market participants.

Towards this objective, the certification course - AML and CFT Provisions in Securities Market Certification Examination, has been conceptualised and developed by SEBI in collaboration with National Institute of Securities Markets.

This certification course aims to create a common minimum knowledge benchmark for employees and associated persons of securities market intermediaries about:

- Concepts of AML and CFT and related aspects, provisions of the PMLA & PML rules, and scheduled offences under the SEBI Act and the Companies Act.
- Guidelines pertaining to Anti Money Laundering (AML) Standards, Combating the Financing of Terrorism (CFT) and Proliferation Financing (PF).

It has an exam of one hour duration, consisting 50 questions of 1 mark each. Upon successful completion of the course, the participants will be awarded a certificate. The

course proposes to foster knowledge about the concepts of AML and CFT among the participants.

Details pertaining to the course modules and registration procedure are provided on the NISM website. Communique for the certification examination may be accessed at <https://www.nism.ac.in/circular/nism-series-xxiv-aml-and-cft-provisions-in-securities-markets-certification-examination-nism-certification-aml-cft-series-xxiv-2025-01-dated-february-07-2025/>

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